

The purpose of this form is to help us better understand your needs. This form is designed to make your meeting with Bucholtz & Germa as productive as possible by gathering basic information before we meet.

Please complete this First Meeting Financial Organizer and return to our office one day prior to your first meeting.

Fax: (440) 944-8080

Email: info@bgwealth.com

Mail: Bucholtz & Germa, 2786 SOM Center Road, Suite 102, Willoughby Hills, Ohio, 44094

Please bring the following financial information to your initial meeting:

- Recent tax return
- Recent investment statements
- Pension or retirement plan information

If you have any questions as you work through these materials, please contact us at (440) 944-7070 or (800) 944-3134. Bucholtz & Germa maintains strict confidentiality. Securities and advisory services offered through Commonwealth Financial Network, Member FINRA/SIPC, a Registered Investment Adviser.

Your Name _____ Date of Birth ____/____/____

Spouse Name _____ Date of Birth ____/____/____

Street Address _____

City _____ State _____ Zip _____

Phone Cell _____ Home _____ Email _____

Do you have children? ☐ Yes ☐ No If yes, please provide their names and birthdates:

Name _____ Date of Birth ____/____/____

Name _____ Date of Birth ____/____/____

Name _____ Date of Birth ____/____/____

Are you currently employed? ☐ Yes ☐ No If yes, please provide the name of your employer and job title:

Company Name _____ Job Title _____

Work Phone _____ Email _____

Is your spouse currently employed? ☐ Yes ☐ No If yes, please provide the name of their employer and job title:

Company Name _____ Job Title _____

What is your approximate annual income from all sources?

Your Income: ☐ less than \$50,000 ☐ \$50,000 to \$100,000 ☐ \$100,000 to \$250,000 ☐ greater than \$250,000

Spouse Income: ☐ less than \$50,000 ☐ \$50,000 to \$100,000 ☐ \$100,000 to \$250,000 ☐ greater than \$250,000

What prompted you to contact Bucholtz & Germa? _____

What would you like your initial meeting to focus on? _____

What are your biggest financial concerns? _____

Do you currently work with a CERTIFIED FINANCIAL PLANNER™ Practitioner? ☐ Yes ☐ No

Do you have a will? ☐ Yes ☐ No When was your will last updated? _____ / _____
(mo) (year)

Do you have a trust? ☐ Yes ☐ No ☐ Not Sure

Do you use an accountant to prepare your taxes? ☐ Yes ☐ No

If yes, what accountant do you use? _____

Have you had a tax planning appointment in the past year? ☐ Yes ☐ No

Have you written out your long term financial goals? ☐ Yes ☐ No ☐ Not recently

What is the approximate value of all your CD's, savings, and checking accounts?

- ☐ less than \$50,000 ☐ \$50,000 to \$150,000 ☐ \$150,000 to \$250,000
☐ \$250,000 to \$500,000 ☐ \$500,000 to \$1,000,000 ☐ More than \$1,000,000

What is the approximate value of all your retirement plans and IRA's? (401k, etc)

- ☐ less than \$50,000 ☐ \$50,000 to \$150,000 ☐ \$150,000 to \$250,000
☐ \$250,000 to \$500,000 ☐ \$500,000 to \$1,000,000 ☐ More than \$1,000,000

Do you have an ownership interest in a business? ☐ Yes ☐ No

If yes, please list type of business and business name – Type of Business _____

Business Name _____

Do you expect to receive any pension benefits? ☐ Yes ☐ No

Do you hold any notes payable? (Money owed to you) ☐ Yes ☐ No

What is the approximate market value of your home?

- ☐ Less than \$150,000 ☐ \$150,000 to \$300,000 ☐ \$300,000 to \$750,000 ☐ More than \$750,000

Do you have a mortgage on your home? If yes, what is the balance on the loan and the interest rate?

Loan Balance _____ Interest Rate _____

Please indicate any other debt you currently have –

Home Equity _____ Second Mortgage _____ Car Loan _____
Credit Card Debt _____ Business Debt _____ Other _____
(If you carry a balance)

Do you own any other real estate? ☐ Yes ☐ No ☐ Vacation Property/Second Home ☐ Investment Property

Do you have any life insurance? ☐ Yes ☐ No

Has your life insurance been reviewed in the last five years? ☐ Yes ☐ No ☐ I/We do not have or need life insurance

Do you have disability insurance? ☐ Yes ☐ No

Thank You!